Aton Arbisser (State Bar No. 150496) Email address: aarbisser@kayescholer.com Daniel R. Paluch (State Bar No. 287231) Email address: daniel.paluch@kayescholer.com 1 2 3 KAYE SCHOLER LLP 1999 Avenue of the Stars, Suite 1600 Los Angeles, California 90067-6048 4 Telephone: (310) 788-1000 Facsimile: (310) 788-1200 5 6 Attorneys for Defendant Novartis Consumer Health, Inc. 7 8 UNITED STATES DISTRICT COURT 9 FOR THE SOUTHERN DISTRICT OF CALIFORNIA 10 11 12 Thamar S. Cortina, Case No. '14CV0069 MMAKSC 13 Plaintiff. 14 v. Novartis Consumer Health, Inc., 15 Defendant. 16 17 18 19 20 NOTICE OF REMOVAL 21 22 United States District Court for the Southern District of California: 23 Pursuant to 28 U.S.C. § 1441, et seq., defendant Novartis Consumer Health, 24 Inc. ("NCH") hereby removes the state court action, Cortina v. Novartis Consumer 25 Health, Inc., Case No. 37-2013-00078571-CU-BT-CTL, from the California 26 Superior Court for the County of San Diego, California, to the United States 27 District Court for the Southern District of California, and alleges as follows: 28

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This is an alleged consumer class action purporting to assert claims for 1. violations of California Business & Professions Code §§ 17200 et seq. and §§ 17500 et seq., as well as California Civil Code §§ 1750 et seq., arising out of the sale of NCH's over-the-counter pharmaceutical product Excedrin® Migraine. Plaintiff claims economic injury only (i.e., there is no claim for personal injury or damage to personal property) on behalf of an alleged class consisting of "[a]ll persons in California who purchased Excedrin Migraine in the State of California." (See Complaint ¶ 16). No time frame is specified. Among other relief, the action seeks "compensatory and punitive damages in amounts to be determined," restitution, "disgorgement and/or all other forms of equitable relief," "injunctive relief" including "enjoin[ing Novartis] from charging a price premium for Excedrin Migraine," and attorney's fees. (Id. ¶18, Prayer for Relief ¶¶ d, f, g, h).

2. This action is removable to federal court under the diversity jurisdiction provisions of the Class Action Fairness Act ("CAFA"), 28 U.S.C. §§ 1332(d), 1453, to wit: (1) the alleged class action – filed under a state "rule of judicial procedure authorizing an action to be brought by 1 or more representative persons as a class action" - consists of at least 100 proposed class members (28 U.S.C. §§ 1332(d)(1)(B), 1332(d)(5)(B); (2) the citizenship of at least one proposed class member is different from that of any defendant (28 U.S.C. § 1332(d)(2)(A)); and (3) the matter in controversy, after aggregating the claims of the proposed class members, exceeds \$5 million, exclusive of interest and costs (28 U.S.C. § 1332(d)(6)). As shown below, each of these requirements is satisfied here. Indeed, this is precisely the type of class action brought under state law that Congress, by enacting CAFA, intended to be removable to federal court at defendant's option.

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I.

JURISDICTIONAL BASIS FOR REMOVAL UNDER THE CLASS ACTION FAIRNESS ACT

CAFA grants the federal courts diversity jurisdiction over putative 3. class actions where: (1) the alleged class consists of at least 100 putative class members; (2) the citizenship of at least one putative class member is different from that of any defendant; and (3) the matter in controversy, after aggregating the claims of the putative class members, exceeds \$5 million, exclusive of interest and costs. 28 U.S.C. §§ (d)(1)(B), (d)(5)(B), (d)(2)(A), (d)(6). As shown below, each of these prerequisites is met in this case.

Number of Class Members Α.

Plaintiff alleges that "Defendant sells hundreds of thousands of bottles 4. of Excedrin Migraine in California." (Complaint ¶ 17). Based on the volume of sales alleged in the complaint, even if certain people in California had bought thousands of bottles of Excedrin® Migraine, there would be thousands of class members. Accordingly, the requirement that there be 100 or more members in the putative class is satisfied. 28 U.S.C. § 1332(d)(5)(B).

Diversity of Citizenship В.

- CAFA eliminates the requirement of complete diversity. Rather, in 5. actions (as here) covered by the Act, the requisite diversity of jurisdiction is satisfied as long as there is "minimal diversity" - i.e., the citizenship of any proposed class member differs from that of at least one defendant. 28 U.S.C. § 1332(d)(2)(A).
- Plaintiff alleges that she is a citizen of California. (Complaint ¶ 4). She "seeks to represent a class defined as all persons in California who purchased Excedrin® Migraine, excluding those that made such purchase for purposes of resale." (Id. ¶ 16). While some members of the putative class may have been

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visiting California, on information and belief, the vast majority of the putative class are citizens of California.

- The Class Action Fairness Act's requirement of "minimal diversity" is 7. satisfied here because at least one - here, the only - defendant is a citizen of a state other than California. Defendant NCH is, and at the time of filing was, a corporation existing under the laws of the State of Delaware, having its principal place of business in New Jersey, and, thus, for jurisdictional purposes, is a citizen of Delaware and New Jersey. (See id. ¶ 5).
- Under 28 U.S.C. § 1332(d)(2)(A), because there is diversity of 8. citizenship between at least one putative class member and at least one – here, the only – defendant, the requisite diversity of citizenship is satisfied.

Amount in Controversy¹

Plaintiff seeks restitution, disgorgement and damages on her own 9. behalf and on behalf of the putative class for injuries allegedly caused by NCH because "they would not have purchased Excedrin® Migraine" under allegedly different circumstances. (Complaint ¶ 29, 33, 46, Prayer for Relief ¶ d, f, g, h). NCH has been selling Excedrin® Migraine in California since 2005, and the total amount of its sales at retail in California since that time is well in excess of \$5 million.2

NCH does not concede that the named plaintiff or any putative class member would be entitled to any of the relief sought in the complaint. However, the plaintiff's "claim, whether well or ill founded in fact, fixes the right of the defendant to remove." St. Paul Mercury Indem. Co. v. Red Cab Co., 303 U.S. 283, 294 (1938).

Because the complaint is not limited in time, all retail sales in California since NCH commenced selling the product fixes the amount in controversy. See Geographic Expeditions, Inc. v. Estate of Lhotka ex rel. Lhotka, 599 F.3d 1102, 1108 (9th Cir. 2010) ("the fact that the complaint discloses the existence of a valid defense to a claim" does not eliminate federal jurisdiction); see also Riggins v. Riggins, 415 F.2d 1259, 1262 (9th Cir. 1969) (the "possibility of [a statute of

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- NCH sells Excedrin® Migraine principally to distributors or 10. wholesalers, who distribute the products to retailers, so NCH routinely relies upon retail sales data for consumer packaged goods sold at thousands of retail locations as reported by the Nielsen Company ("Nielsen"). (See Exhibit A, Declaration of Judith Berei ("Berei Decl.")). Based upon Nielsen reports, NCH's Director of Brand Marketing estimates that the retail sales in the four largest metropolitan areas in California of Excedrin® Migraine for the years 2009 through 2013 were approximately \$24.7 million, with additional millions of dollars in sales in earlier years. (Berei Decl. ¶¶ 4, 5.) These estimates do not include sales in California outside of the four largest metropolitan areas. According to the 2010 Census, the four metropolitan areas constitute only 60% of California's population, meaning that approximately 40% of California sales are not included in those figures.³ Based upon this information, the sales of Excedrin® Migraine in California by NCH totaled more than \$65 million for the years 2009 through 2013.
- Where the complaint seeks compensatory damages or restitution based 11. upon false advertising under California law, the full amount of sales may be considered in the amount in controversy. Watkins v. Vital Pharm., Inc., 720 F.3d 1179 (9th Cir. 2013); see also Lewis v. Verizon Communications, Inc., 627 F.3d 395 (9th Cir. 2010) (entire sales constitute amount in controversy even though plaintiff sough refund only for "unauthorized" sales because pleadings did not disclose what portion was "unauthorized"). However, even if the complaint were

limitations] defense being valid does not affect the jurisdiction of the district court to hear and determine the controversy").

Census data is a reliable basis for estimating the amount in controversy. See In re Intel Corp. Microprocessor Antitrust Litig., 2006 U.S. Dist. LEXIS 36716, *5-6 (D. Del. May 22, 2006) (finding \$ 5 million amount in controversy requirement met under Class Action Fairness Act based on application of U.S. Census data to market share and average cost of computers).

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construed to limit compensatory damages and restitution to the "price premium," rather than the entire sales price, the amount in controversy would exceed \$5 million. The complaint alleges a "price premium" of as much as \$3 a box and shows an example where the price charged for Excedrin® Migraine is \$16.29 a box. (Complaint ¶ 12). That is a price premium of 18%, putting the amount in controversy at more than \$11 million going back to 2005.

- Plaintiff also seeks to enjoin NCH "from charging a price premium for 12. Excedrin Migraine" (Complaint ¶ 18), which is included in the calculation of the amount in controversy. See Hunt v. Wash. State Apple Adver. Comm'n, 432 U.S. 333, 347 (1977) ("In actions seeking declaratory or injunctive relief, it is well established that the amount in controversy is measured by the value of the object of the litigation"). Using the 18% price premium alleged in the Complaint and the \$9.9 million in annual sales of Excedrin® Migraine in California in 2013, (see Berei Decl. ¶¶ 4, 5 and adjustment for areas outside of four metropolitan areas), such injunctive relief would be worth more than \$1.7 million per year. If the injunction were to last only 3 years, the value of injunctive relief alone, based upon the allegations of the Complaint and the sales figures, would exceed \$5 million.⁴
- In addition, plaintiff seeks punitive damages in an unspecified amount. It is well established that punitive damages are part of the amount in controversy in a civil action. Bell v. Preferred Life Assur. Society, 320 U.S. 238, 240, 64 S.Ct. 5, 88 L.Ed. 15 (1943); Gibson v. Chrysler Corp., 261 F.3d 927 (9th Cir. 2001). California's Consumer Legal Remedies Act, Count III of the Complaint, provides

When a plaintiff seeks injunctive relief, "the amount in controversy is the monetary value of the object of the litigation from the plaintiff's perspective." Cohen v. Office Depot, Inc., 204 F.3d 1069, 1077 (11th Cir. 2000). Because the \$5 million amount in controversy requirement under CAFA is calculated in the "aggregate" (28 U.S.C. § 1332(d)(6)), the value of injunctive relief to the plaintiff is the value of the aggregate value of the relief to all class members.

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for punitive damages. Civil Code § 1780(a)(4). Even if punitive damages were set to equal compensatory damages--i.e., if a multiplier of one were applied--together with other relief sought, the amount in controversy would clearly exceed \$5 million.

- Finally, plaintiff seeks attorneys' fees. In this Circuit, 25% recovery is 14. the "benchmark" level for reasonable attorney's fees in class action cases. See Hanlon v. Chrysler Corp., 150 F.3d 1011, 1029 (9th Cir. 1998). Such fees are properly included in calculations of the amount in controversy. See Missouri State Life Ins. Co. v. Jones, 290 U.S. 199, 202 (1933); Lowdermilk v. U.S. Bank Nat'l Ass'n, 479 F.3d 994, 1000 (9th Cir. 2007), overruled on other grounds, Rodriguez v. AT & T Mobility Servs. LLC, 728 F.3d 975, 977 (9th Cir. 2013).
- Adding together all of these forms of relief, it is apparent that the amount in controversy in this action, in the aggregate, exceeds \$5 million, exclusive of interest and costs.

II.

PROCEDURAL REQUIREMENTS FOR REMOVAL

- Plaintiff filed the complaint in this action in the Superior Court for San Diego County, California on December 6, 2013. On December 11, 2013, plaintiff served NCH with a copy of the summons and complaint. Because the action is being removed on January 10, 2014, within 30 days of service, the removal is timely under 28 U.S.C. § 1446(b). See Murphy Bros., Inc. v. Michetti Pipe Stringing, Inc., 526 U.S. 344, 355-56 (1999) (30 day period runs from date of service of summons). Copies of all process, pleadings, and orders served on NCH in the action are attached to this Notice of Removal as Exhibit B.
- The United States District Court for the Southern District of California 17. embraces the county in which the state court action was filed, and, thus, this Court is a proper venue for this action pursuant to 28 U.S.C. §§ 89(c), 1441(a).

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- NCH is filing written notice of this removal with the Clerk of the State 18. Court in which the action was filed, pursuant to 28 U.S.C. § 1446(d). Copies of the Notice of Filing, together with this Notice of Removal, are being served upon plaintiff's counsel pursuant to 28 U.S.C. § 1446(d).
- If any question arises as to the propriety of the removal of this action, NCH requests the opportunity to brief any disputed issues and to present oral argument in support of its position that this case is properly removable.
- Nothing in this Notice of Removal shall be interpreted as a waiver or 20. relinquishment of NCH's right to assert any defense or affirmative matter.

WHEREFORE, Defendant NCH respectfully removes this action from the Superior Court of San Diego County, California, bearing Civil Action No.2013-00078571-CU-BT-CTL, to this Court, pursuant to 28 U.S.C. § 1441, et seq.

Dated: January 10, 2104

Respectfully submitted,

KAYE SCHOLER LLP

By:

Attorneys for Defendant Novartis Consumer Health, Inc.

Exhibit A

Aton Arbisser (State Bar No. 150496) Email address: aarbisser@kayescholer.com Daniel R. Paluch (State Bar No. 287231) 1 2 Email address: daniel.paluch@kayescholer.com KAYE SCHOLER LLP 3 1999 Avenue of the Stars, Suite 1600 Los Angeles, California 90067-6048 Telephone: (310) 788-1000 Facsimile: (310) 788-1200 4 5 Attorneys for Defendant 6 Novartis Consumer Health, Inc. 8 UNITED STATES DISTRICT COURT 9 FOR THE SOUTHERN DISTRICT OF CALIFORNIA 10 KAYE SCHOLER LE 11 Case No. '14CV0069 MMAKSC Thamar S. Cortina, 12 Plaintiff. 13 14 ٧. Novartis Consumer Health, Inc., 15 Defendant. 16 17 18 19 20 **DECLARATION OF JUDITH BEREI IN** 21 SUPPORT OF DEFENDANT'S NOTICE OF REMOVAL 22 I, Judith Berei, pursuant to 28 U.S.C. § 1746, declare: 23 I am the Director of Brand Marketing for defendant Novartis 1. 24 Consumer Health, Inc.'s ("NCH") pain category portfolio of brands. In my 25 position as Director of Brand Marketing, I am responsible for all aspects of the 26 marketing of all products sold under the Excedrin® trademark, including Excedrin® 27 Migraine. 28

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- NCH sells Excedrin® Migraine principally to national retail 2. chains and distributors or wholesalers, who distribute the product to retailers. Therefore, NCH relies on data collected by third parties in estimating its retail sales of Excedrin® Migraine.
- As part of my duties and responsibilities as Director of Brand 3. Marketing, I routinely rely upon sales data that NCH receives from the Nielsen Company ("Nielsen"). Nielsen provides sales data for consumer packaged goods sold at thousands of retail locations in the U.S., and reports to NCH both national and regional sales numbers. It does not provide statewide sales figures.
- To estimate California retail sales of Excedrin® Migraine I used 4. Nielsen data for 2009 through 2013, the years that we had on hand. I used the Nielsen numbers reported for four metropolitan areas in California. For Los Angeles and San Francisco, I used Nielsen's "extended all outlet coverage" ("xAOC") metric. The sales data for Sacramento and San Diego are derived from Nielsen's "food only" metric. In making my sales estimates, because the "food only" metric captures approximately 25% of the sales volume measured by xAOC, I scaled up the Sacramento and San Diego "food only" numbers by a factor of four. This method resulted in the following estimates of annual retail sales of Excedrin® Migraine in those four California metropolitan areas:

2009	\$ 5.3 million		
2010	\$ 5.8 million		
2011	\$ 6.3 million		
2012	\$ 1.5 million		
2013	\$ 5.8 million		

NCH experienced a production problem that limited its sales of Excedrin® Migraine in 2012.

Total 2009-2013 \$ 24.7 million.

5. NCH does not have readily available data regarding total retail sales of Excedrin® Migraine prior to 2009. However, sales from 2005-when NCH acquired the Excedrin® line of products—to 2009 were in the range of sales in the 2009-2011 time period.

Executed this 9th day of January, 2014 in Parsippany, New Jersey.

Judith Berei

Exhibit B

BURSOR & FISHER, P.A. L. Timothy Fisher (State Bar No. 191626) Sarah N. Westcot (State Bar No. 264916) Annick M. Persinger (State Bar No. 272996) 1990 North California Boulevard, Suite 940	ELECTRONICALLY FILED Superior Court of California, County of San Diego 12/06/2013 at 10:02:44 AM Clerk of the Superior Court
Walnut Creek, CA 94596 Telephone: (925) 300-4455	By Lee McAister, Deputy Clerk
Facsimile: (925) 407-2700 E-Mail: ltfisher@bursor.com	
swestcot@bursor.com apersinger@bursor.com	
Attorneys for Plaintiff	
SUPERIOR COUR	T OF CALIFORNIA
	F SAN DIEGO
THAMAR S. CORTINA, individually and on behalf of all others similarly situated,	Case No
Plaintiff, v.	CLASS ACTION COMPLAINT
NOVARTIS CONSUMER HEALTH, INC.	JURY TRIAL DEMANDED
Defendant.	
	•
CLASS ACTION COMPLAINT	

Plaintiff Thamar S. Cortina ("Plaintiff") brings this action on behalf of herself and all others similarly situated against Defendant Novartis Consumer Health, Inc. ("Novartis"). Plaintiff makes the following allegations pursuant to the investigation of her counsel and based upon information and belief, except as to the allegations specifically pertaining to her, which are based on personal knowledge.

INTRODUCTION

- 1. This is a class action lawsuit on behalf of purchasers of Excedrin Migraine, which Novartis falsely markets as specifically formulated to treat migraine headaches. In fact, Excedrin Migraine is no more effective than Excedrin Extra Strength for treating migraines. While Novartis charges its customers significantly more for Excedrin Migraine than for Excedrin Extra Strength, they are the *exact same medications*. Novartis preys on migraine sufferers who, desperate for relief from migraine symptoms that are more severe than the symptoms of a headache, are duped into paying more for an identical medication.
- 2. Plaintiff Cortina purchased Excedrin Migraine because she was deceived into believing that Excedrin Migraine was more effective than Excedrin Extra Strength for treating migraine headaches.
- 3. Plaintiff Cortina asserts claims on behalf of herself and a class of purchasers of Excedrin Migraine in California for violation of the California Consumer Legal Remedies Act ("CLRA"), violation of the California Unfair Competition Law ("UCL"), and violation of the California False Advertising Law ("FAL").

PARTIES

4. Plaintiff Thamar S. Cortina is a citizen of California, residing in Bonita. Ms. Cortina purchased Excedrin Migraine based on representations on the label and in other marketing and advertising materials suggesting that the product was specifically formulated and better for treating migraine headaches. She would not have purchased Excedrin Migraine had she known that the product contained the identical active ingredients as Excedrin's less expensive Excedrin Extra Strength headache medicine.

CLASS ACTION COMPLAINT

5. Defendant Novartis Consumer Health, Inc. is a Delaware corporation with its principal place of business at 200 Kimball Drive, Parisppany, New Jersey 07054-0622. Novartis is the distributor and seller of Excedrin Migraine and Excedrin Extra Strength and participated in creating the product formulation, branding, packaging and design, logistics and distribution, marketing, and advertising of both products. Novartis' activities caused the sale of both products in California and across the nation. Novartis utilized deceptive representations to lead consumers to believe that Excedrin Migraine provides better migraine relief than Excedrin Extra Strength.

JURISDICTION AND VENUE

- 6. This Court has subject matter jurisdiction over this class action because the amount in controversy exceeds twenty-five thousand dollars.
- 7. This Court has personal jurisdiction over the parties because Plaintiff resides in California, and because Defendant, at all times relevant hereto, has systematically and continually conducted, and continues to conduct, business in this State.
- 8. Venue is proper in this county because Defendant transacts significant business in San Diego County.

FACTS COMMON TO ALL CAUSES OF ACTION

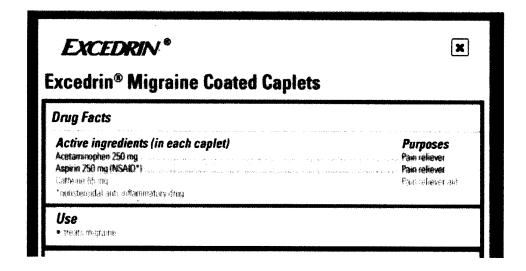
The Misleading Marketing of Excedrin Migraine

9. Novartis markets Excedrin Migraine as specifically formulated to treat migraine symptoms that it states "tend to be more severe than tension headaches." But Excedrin Migraine is no more effective at treating the more severe symptoms of migraines than Excedrin Extra Strength because it is pharmacologically identical to Excedrin Extra Strength. Thus, not only do migraine sufferers have to endure the pounding headache, nausea, vomiting and light sensitivity caused by migraines, Novartis also fools them into paying more for Excedrin Migraine than for Excedrin Extra Strength, which is the exact same formula. Indeed, no reasonable consumer would pay more for Excedrin Migraine unless he or she was deceived into thinking that Excedrin Migraine was better for treating migraine headaches than Excedrin Extra Strength.

10. As depicted below, Novartis features the word "MIGRAINE" in large bold letters in the name on Excedrin Migraine packaging to lead consumers to believe that it is more effective for treating migraines.



11. As shown below, Excedrin Migraine and Excedrin Extra Strength are both comprised of Acetaminophen 250 mg, Aspirin 250 mg, and Caffeine 65 mg." Thus, Excedrin Migraine is not more effective at relieving migraines than Excedrin Extra Strength. To convince consumers to pay a price premium for Excedrin Migraine, Novartis lists "treats migraine" as the only "Use" for Excedrin Migraine. For Excedrin Extra Strength, Novartis includes "headache" among the "Uses" but does not include "treats migraine." Thus, Novartis misrepresents to consumers that Excedrin Migraine is capable of treating migraines but that Excedrin Extra Strength is not.



EXCEDRIN®	×
Excedrin® Extra Strength Caplets	
Drug Facts	
Active ingredients (in each caplet) Acetaminophen 250 mg Aspirin 250 mg (NSAID)* Caffeine 65 mg *nonsteroidal anti-inflammatory drug	Purposes Pain reliever Pain reliever aid
Uses temporarily relieves minor aches and pains due to headache a coid arthritis muscular aches sinusit toothache premenstrual & menstrual cramps	is

12. Excedrin Migraine and Excedrin Extra Strength also appear directly next to each other on store shelves, which misleads consumers to reasonably believe that Excedrin Extra Strength and Excedrin Migraine are two separate medications designed to treat distinct symptoms. Novartis also uses red packaging for Excedrin Migraine and green packaging for Excedrin Extra Strength to connote that the products are different and have different uses. The fact that Novartis charges \$2 to \$3 more for Excedrin Migraine also suggests to consumers that Excedrin Migraine is a stronger medicine that is more effective at relieving severe migraine pain.



13. Even though there is no distinction between the formulation of Excedrin Migraine and Excedrin Extra Strength, to sell Excedrin Migraine at a higher price, Novartis emphasizes the increased severity of migraine symptoms. On its website, Novartis asks "Migraine or Headache?" Novartis goes on to state "A migraine is more than just a bad headache" to suggest to migraine

CLASS ACTION COMPLAINT 4

sufferers that they need a stronger and more effective medicine, such as Excedrin Migraine, for treatment of migraines. But Excedrin Migraine is no more than just Excedrin Extra Strength, which is marketed as treatment for headaches but not migraines.

14. Under the "Migraine Center" tab on its website, Novartis describes the differences between "Migraines vs. tension headaches." Novartis explains that "According to the U.S. Department of Health and Human Services, migraines tend to be more severe than tension headaches." As shown below, Novartis includes a chart with check marks identifying the additional symptoms of migraines. Novartis neglects to mention that while there are differences between migraines and headaches there are no differences between Excedrin Extra Strength and Excedrin Migraine.

Migraine vs. bad tension-type headache¹



15. Also on its website, below the title "Types of Headaches," Novartis states that "While there are many different types of headaches, tension headaches and migraine headaches are most common. Since these two types of headaches may be treated differently, determining which type you have is a critical step in pain relief." (emphasis added). Further down on the webpage, Novartis explains "Tension headaches are sometimes called muscle contraction headaches. The pain is usually a dull ache on both sides of the head and has been described as feeling like a tight band across the front of the head. It is also associated with stiffness or the neck/shoulder and frequent pain." Novartis then distinguishes the "Migraine Headache" by stating that "Migraine headaches produce moderate to severe pounding or throbbing pain, common on one side of the head. Migraine pain is often accompanied by nausea, vomiting, dizziness, and sensitivity to light and/or sounds." Thus, Novartis encourages consumers to determine which type of headache they have so that they can take the "critical step" of paying more for Excedrin Migraine even though it is no different than Excedrin Extra strength.

CLASS REPRESENTATION ALLEGATIONS

- 16. Plaintiff seeks to represent a class defined as all persons in California who purchased Excedrin Migraine, excluding those that made such purchase for purpose of resale (the "Class").
- 17. Numerosity: Defendant sells hundreds of thousands of bottles of Excedrin Migraine in California. Accordingly, members of the Class are so numerous that their individual joinder herein is impracticable. The precise number of Class members and their identities are unknown to Plaintiff at this time but may be determined through discovery. Class members may be notified of the pendency of this action by mail and/or publication through the distribution records of Defendants and third party retailers and vendors.
- 18. Existence and Predominance of Common Questions of Fact and Law: Common questions of law and fact exist as to all Class members and predominate over questions affecting only individual Class members. Common legal and factual questions include, but are not limited to whether Defendant's labeling, marketing and promotion of Excedrin Migraine is false, and misleading. Such questions include, but are not limited to, the following:

- (a) Whether Defendant's marketing of Excedrin Migraine violates the CLRA;
- (b) Whether Defendant's marketing of Excedrin Migraine violates the UCL;
- (c) Whether Defendant's marketing of Excedrin Migraine violates the FAL;
- (d) Whether Plaintiff and the proposed class members are entitled to restitution of the price premium Defendant has collected;
- (e) Whether Plaintiff and the proposed class members are entitled to disgorgement of the price premium Defendant has collected;
- (f) Whether Defendant should be enjoined from charging a price premium for Excedrin Migraine.
- 19. <u>Typicality:</u> Plaintiff is asserting claims that are typical of the proposed class members' claims, having paid a price premium to Defendant for Excedrin Migraine. Plaintiff and the proposed class members have similarly suffered harm arising from Defendant's violations of law as alleged herein.
- 20. Adequacy: Plaintiff is an adequate representative of the Class because her interests do not conflict with the interests of the Class members she seeks to represent, she has retained competent counsel experienced in prosecuting class actions, and she intends to prosecute this action vigorously. The interests of Class members will be fairly and adequately protected by Plaintiff and her counsel.
- 21. Superiority: The class mechanism is superior to other available means for the fair and efficient adjudication of the claims of the Class. Each individual Class member may lack the resources to undergo the burden and expense of individual prosecution of the complex and extensive litigation necessary to establish Defendant's liability. Individualized litigation increases the delay and expense to all parties and multiplies the burden on the judicial system presented by the complex legal and factual issues of this case. Individualized litigation also presents a potential for inconsistent or contradictory judgments. In contrast, the class action device presents far fewer management difficulties and provides the benefits of single adjudication, economy of scale, and comprehensive supervision by a single court on the issue of Defendant's liability. Class treatment

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of the liability issues will ensure that all claims and claimants are before this Court for consistent adjudication of the liability issues.

COUNT I

Violation Of California's Unfair Competition Law,

California Business & Professions Code §§ 17200, et seq.

- 22. Plaintiff hereby incorporates by reference the allegations contained in all paragraphs of this complaint.
- 23. Plaintiff brings this claim individually and on behalf of the members of the proposed Class against Defendant.
- 24. Defendant is subject to California's Unfair Competition Law, Bus. & Prof. Code §§ 17200, et seq. The UCL provides, in pertinent part: "Unfair competition shall mean and include unlawful, unfair or fraudulent business practices and unfair, deceptive, untrue or misleading advertising"
- 25. Defendant's misrepresentations and other conduct, described herein, violated the "unfair" prong of the UCL in that their conduct is substantially injurious to consumers, offends public policy, and is immoral, unethical, oppressive, and unscrupulous, as the gravity of the conduct outweighs any alleged benefits. Defendant's practice of charging migraine sufferers a price premium for a product that is not superior for relieving migraines is of no benefit to consumers.
- 26. Defendant violated the "fraudulent" prong of the UCL by making misrepresentations about the strength and efficacy of Excedrin Migraine, as described herein.
- 27. Plaintiff and the Class are not sophisticated experts about the effect of the active ingredients in Excedrin headache products, and they acted reasonably when they purchased Excedrin Migraine based on their belief that it would provide more effective relief for migraine symptoms.
- 28. Defendant's misrepresentations and other conduct, described herein, violated the "unlawful" prong of the UCL by violating the CLRA and the FAL.

29. Plaintiff and the Class suffered injuries caused by Defendant because: (a) they would not have purchased Excedrin Migraine if they had known that it was identical to Excedrin Extra Strength; (b) they paid a price premium for Excedrin Migraine due to Defendant's marketing of Excedrin Migraine as superior for treating migraines; and (3) Excedrin Migraine did not have the characteristics as promised because it is not a more effective treatment of migraines.

COUNT II

Violation Of California's False Advertising Law,

California Business & Professions Code §§ 17500, et seq.

- 30. Plaintiff hereby incorporates by reference the allegations contained in all preceding paragraphs of this complaint.
- 31. Plaintiff brings this claim individually and on behalf of the members of the proposed Class against Defendant.
- 32. California's False Advertising Law, Bus. & Prof. Code §§ 17500, et seq., makes it "unlawful for any person to make or disseminate or cause to be made or disseminated before the public in this state, ... in any advertising device ... or in any other manner or means whatever, including over the Internet, any statement, concerning ... personal property or services, professional or otherwise, or performance or disposition thereof, which is untrue or misleading and which is known, or which by the exercise of reasonable care should be known, to be untrue or misleading."
- 33. Defendant committed acts of misleading advertising, as defined by § 17500, by misrepresenting that Excedrin Migraine is specifically formulated and a superior treatment for migraine headaches. These misrepresentations likely deceived and are still deceiving the general public, and lead reasonable consumers to believe that Excedrin Migraine is worth the price premium for its use in treating migraine headaches.
- 34. Defendant knew or should have known, through the exercise of reasonable care that their representations about Excedrin Migraine were untrue and misleading.
- 35. Defendant's actions in violation of § 17500 were false and misleading such that the general public is and was likely to be deceived.

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36. Plaintiff and the Class suffered injuries caused by Defendant because: (a) they would not have purchased Excedrin Migraine if they had known that it was identical to Excedrin Extra Strength; (b) they paid a price premium for Excedrin Migraine due to Defendant's marketing of Excedrin Migraine as superior for treating migraines; and (3) Excedrin Migraine did not have the characteristics as promised because it is not a more effective treatment of migraines.

COUNT III

Violation Of California's Consumers Legal Remedies Act, California Civil Code §§ 1750, et seq.

- 37. Plaintiff hereby incorporates by reference the allegations contained in all preceding paragraphs of this complaint.
- 38. Plaintiff brings this claim individually and on behalf of the members of the proposed Class against Defendant.
- 39. Plaintiff and the Class members are consumers who purchased Excedrin Migraine for personal, family or household purposes. Plaintiff and the Class members are "consumers" as that term is defined by the CLRA in Civ. Code § 1761(d). Plaintiffs and the Class members are not sophisticated experts with independent knowledge of the effect of the ingredients in Excedrin products.
- 40. Excedrin Migraine that Plaintiff and other Class Members purchased from Defendant were "goods" within the meaning of Civ. Code § 1761(a).
- 41. Defendant's actions, representations, and conduct have violated, and continue to violate the CLRA, because they extend to transactions that intended to result, or which have resulted in, the sale of goods to consumers.
- 42. Civ. Code § 1770(a)(5), prohibits "[r]epresenting that goods or services have sponsorship, approval, characteristics, ingredients, uses, benefits, or quantities which they do not have or that a person has a sponsorship, approval, status, affiliation, or connection which he or she does not have."

- 43. Defendant violated Civ. Code § 1770(a)(5) by misrepresenting that Excedrin Migraine is specifically formulated for treating migraines and more effective for treating migraines than Excedrin Extra Strength.
- 44. Civ. Code § 1770(a)(7), prohibits "[r]epresenting that goods or services are of a particular standard, quality, or grade, or that goods are of a particular style or model, if they are another."
- 45. Defendant represented that Excedrin Migraine was of a particular standard, style and/or model because Defendant represented that Excedrin Migraine was specifically formulated for treating migraines. Defendant violated Civ. Code § 1770(a)(7) because Excedrin Migraine is not of a particular style, standard and/or model for treating migraines. Instead, Defendant's Excedrin Migraine product is the same standard, style and model as its Excedrin Extra Strength product.
- 46. Plaintiff and the Class suffered injuries caused by Defendant because: (a) they would not have purchased Excedrin Migraine if they had known that it was identical to Excedrin Extra Strength; (b) they paid a price premium for Excedrin Migraine due to Defendant's marketing of Excedrin Migraine as superior for treating migraines; and (3) Excedrin Migraine did not have the characteristics as promised because it is not a more effective treatment of migraines.
- 47. On or about October 24, 2013, prior to filing this action, a CLRA notice letter was served on Defendant which complies in all respects with California Civil Code § 1782(a). Plaintiff Cortina sent Novartis Consumer Health, Inc. a letter via certified mail, return receipt requested, advising Novartis that it is in violation of the CLRA and demanding that it cease and desist from such violations and make full restitution by refunding the monies received therefrom. A true and correct copy of Plaintiff Cortina's letter is attached hereto as Exhibit A.
- 48. Wherefore, Plaintiff seeks damages, restitution, and injunctive relief for this violation of the CLRA.

1 2 PRAYER FOR RELIEF WHEREFORE, Plaintiff, individually and on behalf of all others similarly situated, seeks 3 judgment against Defendants, as follows: 4 5 a. For an order certifying the Class under Code of Civil Procedure § 382 and naming Plaintiff as representative of the Class and Plaintiff's attorneys as Class Counsel to 6 7 represent the Class members; b. 8 For an order declaring that the Defendant's conduct violates the statutes referenced 9 herein; For an order finding in favor of Plaintiff, and the Class, on all counts asserted 10 c. herein; 11 For compensatory and punitive damages in amounts to be determined by the Court d. 12 13 and/or jury; e. For prejudgment interest on all amounts awarded; 14 f. For an order of restitution, disgorgement and/or all other forms of equitable 15 monetary relief; 16 For injunctive relief as pleaded or as the Court may deem proper; 17 g. h. For an order awarding Plaintiff and the Class her reasonable attorneys' fees and 18 19 expenses and costs of suit; and i. For such other relief as the Court may deem proper. 20 21 **DEMAND FOR TRIAL BY JURY** Plaintiff demands a trial by jury of all issues so triable. 22 23 24 25 26 27 28

CLASS ACTION COMPLAINT 12

Case 3:14-cv-00069-MMA-KSC Document 1-2 Filed 01/10/14 Page 15 of 23

1	Dated: December 6, 2013	Respectfully submitted,
2		BURSOR & FISHER, P.A.
3		1 1. + - 11.
4		By: 2. Tinty Fisher
5		L. Timothy Fisher
6		L. Timothy Fisher (State Bar No. 191626) Sarah N. Westcot (State Bar No. 264916)
7		Annick M. Persinger (State Bar No. 272996) 1990 North California Boulevard, Suite 940
8		Walnut Creek, CA 94596 Telephone: (925) 300-4455
9		Facsimile: (925) 407-2700 E-Mail: ltfisher@bursor.com
10		swestcot@bursor.com apersinger@bursor.com
11		Attorneys for Plaintiff
12		moneys for I tuning
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CLASS ACTION COMPLAINT 13

CLRA Venue Declaration Pursuant to Civil Code Section 1780(d) 1 2 I, Thamar S. Cortina, declare as follows: 3 I am a plaintiff in this action and a citizen of the State of California. I have personal 1. 4 knowledge of the facts stated herein and, if called as a witness, I could and would testify 5 competently thereto. 6 2. The complaint filed in this action is filed in the proper place for trial under 7 California Civil Code Section 1780(d) in that Defendant conducts a substantial amount of business 8 in this county. 9 3. While living in Bonita, California, I purchased Excedrin Migraine for my personal 10 use based on representations on the label and in other marketing and advertising materials 11 suggesting that the product was specifically formulated and better for treating migraine headaches. 12 I would not have purchased Excedrin Migraine had I known that that the product contained the 13 identical ingredients as Excedrin's less expensive Extra Strength headache medicine. 14 I declare under the penalty of perjury under the laws of the State of California that the 15 foregoing is true and correct, executed on Nov. 29, 2013 at Bonita, California. 16 17 18 19 20 21 22 23 24 25 26 27

1990 N. CALIFORNIA BLVD. SUITE 940 WALNUT CREEK, CA 94596 www.bursor.com

L. TIMOTHY FISHER
Tel: 925.300.4455
Fax: 925.407.2700
ltfisher@bursor.com

October 24, 2013

Via Certified Mail - Return Receipt Requested

Novartis Consumer Health, Inc. 200 Kimball Drive Parsippany, NJ 07054-0622

Re:

Demand Letter Pursuant to California Civil Code § 1782, Violation of Magnuson-Moss Act, 15 U.S.C. §§ 2301, et seq., and other applicable laws.

To Whom It May Concern:

This letter serves as a notice and demand for corrective action on behalf of my client, Thamar Cortina, and all other persons similarly situated, arising from breaches of warranty under the Magnuson-Moss Warranty Act and violations of numerous provisions of California law including the Consumers Legal Remedies Act, Civil Code § 1770, including but not limited to subsections (a)(5), (7), and (9).

Your conduct with respect to the promotion and marketing of Excedrin Migraine is false and misleading. Such conduct includes suggesting to consumers that Excedrin Migraine is specifically formulated to treat migraine headaches, suggesting that the product is more effective for treating migraine headaches than Excedrin's regular headache product (Excedrin Extra Strength), and charging more for Excedrin Migraine than Excedrin Extra Strength. This conduct is false and misleading because Excedrin Migraine is not more effective at relieving migraines than Excedrin Extra Strength. The two products are pharmacologically identical, containing the same active ingredients in each pill, and in the same amounts: 250 mg of acetaminophen, 250 mg of aspirin, and 65 mg of caffeine.

Ms. Cortina, a resident of California, purchased Excedrin Migraine based on representations on the label and in other marketing and advertising materials suggesting that the product is formulated to treat the symptoms of migraine headaches. She would not have purchased Excedrin Migraine had she known that the product contained the identical active ingredients as Excedrin's less expensive Excedrin Extra Strength headache medicine.

Ms. Cortina is acting on behalf of a class defined as all persons in the United States who purchased Excedrin Migraine (hereafter, the "Class"). She is also acting on behalf of a subclass of Class members who purchased Excedrin Migraine in the state of California (the "California Sublcass").

To cure the defects described above, we demand that you (1) cease and desist from continuing to mislabel and falsely advertise Excedrin Migraine; (2) issue an immediate recall on any Excedrin Migraine products bearing false labels; and (3) make full restitution to all purchasers of Excedrin Migraine of all purchase money obtained from sales thereof.

We further demand that you preserve all documents and other evidence which refer or relate to any of the above-described practices including, but not limited to, the following:

- 1. All documents concerning the ingredients, formula, and manufacturing process for Excedrin Migraine and Excedrin Extra Strength;
- 2. All communications with the U.S. Food and Drug Administration concerning the product development, manufacturing, marketing and sales of Excedrin Migraine and Excedrin Extra Strength;
- 3. All documents concerning the advertisement, marketing, or sale of Excedrin Migraine; and
- 4. All communications with customers concerning complaints or comments concerning Excedrin Migraine.

We are willing to negotiate to attempt to resolve the demands asserted in this letter. If you wish to enter into such discussions, please contact me immediately. If I do not hear from you promptly, I will conclude that you are not interested in resolving this dispute short of litigation. If you contend that any statement in this letter is inaccurate in any respect, please provide us with your contentions and supporting documents promptly.

Very truly yours,

2. Try IC

L. Timothy Fisher

Case 3:14-cv-00069-MM	A-KSC Document 1-2 File	d 01/10/14 Page 20 of 23 CM-010
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar L. Timothy Fisher (State Bar No. 191626)	number, and address)	FOR COURT USE ONLY
Bursor & Fisher, P.A.		
1990 N. California Blvd., Suite 940		
Walnut Creek, CA 94596	(005) 405 0500	ELECTRONICALLY FILED
TELEPHONE NO (925) 300-4455	FAX NO. (925) 407-2700	Superior Court of California, County of San Diego
ATTORNEY FOR (Name) Thamar S. Cortina		
SUPERIOR COURT OF CALIFORNIA, COUNTY OF STREET ADDRESS. 220 West Broadway	an Diego	12/06/2013 at 10:02:44 AM
MAILING ADDRESS		Clerk of the Superior Court
CITY AND ZIP CODE San Diego, CA 9210	·	By Lee McAlister, Deputy Clerk
BRANCH NAME:	, L	
CASE NAME:		
	ONSUMER HEALTH, INC.	
CIVIL CASE COVER SHEET	Complex Case Designation	CASE 37-2013-00078571-CU-BT-CTL
✓ Unlimited Limited	Complex Case Designation	31-2013-00010311-CO-81-C1E
(Amount (Amount	Counter Joinder	l LAPIU A B
demanded demanded is	Filed with first appearance by defen	dant Jupos: Judge William S. Dato
exceeds \$25,000) \$25,000 or less)	(Cal. Rules of Court, rule 3.402)	DEPT:
	low must be completed (see instructions	on page 2).
1. Check one box below for the case type that		
Aute Tort	Contract	Provisionally Complex Civil Litigation
Auto (22)	Breach of contract/warranty (06)	(Cal. Rules of Court, rules 3.400–3.403)
Uninsured motorist (46)	Rule 3.740 collections (09)	Antitrust/Trade regulation (03)
Other PI/PD/WD (Personal Injury/Property	Other collections (09)	Construction defect (10)
Damage/Wrongful Death) Tort Asbestos (04)	insurance coverage (18)	Mass tort (40)
Product liability (24)	Other contract (37)	Securities litigation (28)
Medical malpractice (45)	Real Property	Environmental/Toxic tort (30)
Other PI/PD/WD (23)	Eminent domain/Inverse condemnation (14)	Insurance coverage claims arising from the above listed provisionally complex case
Non-Pl/PD/WD (Other) Tort	Wrongful eviction (33)	types (41)
Business tort/unfair business practice (07		Enforcement of Judgment
Civil rights (08)	Unlawful Detainer	Enforcement of judgment (20)
Defamation (13)	Commercial (31)	Miscellaneous Civil Complaint
Fraud (16)	Residential (32)	RICO (27)
Intellectual property (19)	Drugs (38)	Other complaint (not specified above) (42)
Professional negligence (25)	Judicial Review	, , , , , , , , , , , , , , , , , , , ,
Other non-PI/PD/WD tort (35)	Asset forfeiture (05)	Miscellaneous Civil Petition
Employment	Petition re: arbitration award (11)	Partnership and corporate governance (21)
Wrongful termination (36)	Writ of mandate (02)	Other petition (not specified above) (43)
Other employment (15)	Other judicial review (39)	
2. This case is is is not com		ules of Court. If the case is complex, mark the
factors requiring exceptional judicial mana	gement:	
a. Large number of separately repre	sented parties d. Large numbe	er of witnesses
b. Extensive motion practice raising	difficult or novel e. Coordination	with related actions pending in one or more courts
issues that will be time-consuming		ties, states, or countries, or in a federal court
c. Substantial amount of documenta	rry evidence f. Substantial p	ostjudgment judicial supervision
2. Damadian anyohi (abada ali ibah at anatida a		<u> </u>
3. Remedies sought (check all that apply): a		declaratory or injunctive relief c. punitive
4. Number of causes of action (specify): 3:	•	de 1/200; Bus. & Prof. Code 1/500
	ss action suit.	
6. If there are any known related cases, file a	and serve a notice of related case. (You	тву-тье тогт CM-015.)
Date: 12/06/2013	_ /) •	
L. Timothy Fisher		100
(TYPE OR PRINT NAME)		SIGNATURE OF PARTY OR ATTORNEY FOR PARTY)
Plaintiff must file this cover sheet with the	NOTICE first paper filed in the action or proceeding	ng (except small claims cases or cases filed
		les of Court, rule 3.220.) Failure to file may result
in sanctions.	, ,	
• File this cover sheet in addition to any cov		u munit annua a annua af their annua at annua at
 If this case is complex under rule 3.400 et other parties to the action or proceeding. 	seq. or the California Rules of Court, you	u must serve a copy of this cover sheet on all
	2 740 or a complay case, this source shi	est will be used for statistical numbers only

SUMMONS (CITACION JUDICIAL)

NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):

NOVARTIS CONSUMER HEALTH, INC.

YOU ARE BEING SUED BY PLAINTIFF: (LO ESTÁ DEMANDANDO EL DEMANDANTE):

THAMAR S. CORTINA, individually and on behalf of all others similarly situated.

FOR COURT USE ONLY (SOLO PARA USO DE LA CORTE)

ELECTRONICALLY FILED

SUM-100

Superior Court of California, County of San Diego

12/06/2013 at 10:02:44 AM

Clerk of the Superior Court By Lee McAister, Deputy Clerk

NOTICE! You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information below.

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your Case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gow/selfhelp), or by contacting your local court or county bar association. NOTE: The court has a stetutory lien for waived fees and www.courtinfo.ca.gow/selfhelp), or by contacting your local court or county bar association. NOTE: The court has a stetutory lien for waived fees and www.lawhelpcalifornia.org), the California Courts Online Self-Help Center costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the court will dismiss the case. www.courtinfo.ca.gow/selfhelp), or responde dentro de 30 dies, le corte puede decidir en su contra sin escuchar su versión. Lea le información a continuación.

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California (www.sucorte.ca.gov), en la biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte podrá quiter su sueldo, dinero y bienes sin más advertencia.

Hay otros requisitos legales. remisión a abogados. Si no pur programa de servicios legales (www.lawhelpcalifornia.org), er colegio de abogados locales. A cualquier recuperación de \$10.	ricion de pago de cuotas. Si no presenta y bienes sin más edvertencia. Es recomendable que llame a un abogac ede pager a un abogado, es posible que sin fines de lucro. Puede encontrar estos el Centro de Ayuda de las Cortes de Ca VISO: Por ley, la corte tiene derecho a re 000 ó más de valor recibida mediante un antes de que la corte pueda desechar el d	do inmediatamente. Si no cumpla con los requisitos grupos sin fines de lucro difornia, (www.sucorte.ca. aclamar las cuotas y los c	conoce a un abogado, puede llamar para obtener servicios legales gratui en el sitio web de California Legal Se gov) o poniéndose en contacto con la	a un servicio de itos de un ervices, a corte o el
The name and address of the	court is:		CASE NUMBER	
220 West Broadway	corte es): San Diego County Su	perior Court	37-2013-00078571-CU-B	T-CTL
San Diego, CA 92101				
The name, address, and telep (El nombre, la dirección y el n	phone number of plaintiff's attorney, of úmero de teléfono del abogado del de or & Fisher, P.A,1990 N Califo	Managadonia a dal da		es):)300-4455
DATE: 12/06/2013 (Fecha)		Clerk, by	10	, Deputy
For proof of service of this su	mmons, use Proof of Service of Sum	(Secretario)		_ (Adjunto)
ord process de entrega de es	sta citation use el formulario Proof of	Service of Summons	(POS-010)).	
SFAIT	NOTICE TO THE PERSON SERVENTS as an individual defenda	VED: You are served		
A COMMON OF THE REAL PROPERTY OF THE PARTY O	2. as the person sued under		(specify):	
	3. on behalf of (specify):			
	under: CCP 416.10 (co	funct corporation)	CCP 416.60 (minor) CCP 416.70 (conserva	utee)
- 45 34 34 34 A	other (specify):	sociation or partnership	p) CCP 416.90 (authorize	d person)
Form Adopted for Mandatory Lise	4 by personal delivery on (uate).		Page 1 of 1

Case 3:14-cv-00069-MMA-KSC Document 1-2 Filed 01/10/14 Page 22 of 23

SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO

STREET ADDRESS: 330 W Broadway MAILING ADDRESS:

330 W Broadway

CiTY AND ZIP CODE: San Diego, CA 92101-3827

BRANCH NAME:

TELEPHONE NUMBER: (619) 450-7067

PLAINTIFF(S) / PETITIONER(S):

Thamar S Cortina

DEFENDANT(S) / RESPONDENT(S): NOVARTIS CONSUMER HEALTH INC

THAMAR S CORTINA VS NOVARTIS CONSUMER HEALTH INC [E-FILE]

NOTICE OF CASE ASSIGNMENT AND CASE MANAGEMENT

CONFERENCE on MANDATORY eFILE CASE

CASE NUMBER:

37-2013-00078571-CU-BT-CTL

CASE ASSIGNMENT

Judge: William S. Dato

Department: C-67

COMPLAINT/PETITION FILED: 12/06/2013

TYPE OF HEARING SCHEDULED

DATE

TIME

DEPT

JUDGE

Civil Case Management Conference

08/29/2014

10:30 am

C-67

William S. Dato

A case management statement must be completed by counsel for all parties or self-represented litigants and timely filed with the court at least 15 days prior to the initial case management conference. (San Diego Local Rules, Division II, CRC Rule 3.725).

All counsel of record or parties in pro per shall appear at the Case Management Conference, be familiar with the case, and be fully prepared to participate effectively in the hearing, including discussions of ADR* options.

IT IS THE DUTY OF EACH PLAINTIFF (AND CROSS-COMPLAINANT) TO SERVE A COPY OF THIS NOTICE WITH THE COMPLAINT (AND CROSS-COMPLAINT), THE ALTERNATIVE DISPUTE RESOLUTION (ADR) INFORMATION FORM (SDSC FORM #CIV-730), A STIPULATION TO USE ALTERNATIVE DISPUTE RESOLUTION (ADR) (SDSC FORM #CIV-359), AND OTHER DOCUMENTS AS SET OUT IN SDSC LOCAL RULE 2.1.5.

ALL COUNSEL WILL BE EXPECTED TO BE FAMILIAR WITH SUPERIOR COURT RULES WHICH HAVE BEEN PUBLISHED AS DIVISION II, AND WILL BE STRICTLY ENFORCED.

- TIME STANDARDS: The following timeframes apply to general civil cases and must be adhered to unless you have requested and been granted an extension of time. General civil cases consist of all civil cases except: small claims proceedings, civil petitions, unlawful detainer proceedings, probate, guardianship, conservatorship, juvenile, parking citation appeals, and family law proceedings.
- COMPLAINTS: Complaints and all other documents listed in SDSC Local Rule 2.1.5 must be served on all named defendants, and a Certificate of Service (SDSC form #CIV-345) filed within 60 days of filing.
- DEFENDANT'S APPEARANCE: Defendant must generally appear within 30 days of service of the complaint. (Plaintiff may stipulate to no more than 15 day extension which must be in writing and filed with the Court.) (SDSC Local Rule 2.1.6)
- JURY FEES: In order to preserve the right to a jury trial, one party for each side demanding a jury trial shall pay an advance jury fee in the amount of one hundred fifty dollars (\$150) on or before the date scheduled for the initial case management conference in the action.
- MANDATORY eFILE: Case assigned to mandatory eFile program per CRC 3.400-3.403 and SDSC Rule 2.4.11. All documents must be eFiled at www.onelegal.com. Refer to General Order 010313 at www.sdcourt.ca.gov for guidelines and procedures.

*ALTERNATIVE DISPUTE RESOLUTION (ADR): THE COURT ENCOURAGES YOU TO CONSIDER UTILIZING VARIOUS ALTERNATIVES TO TRIAL, INCLUDING MEDIATION AND ARBITRATION, PRIOR TO THE CASE MANAGEMENT CONFERENCE. PARTIES MAY FILE THE ATTACHED STIPULATION TO USE ALTERNATIVE DISPUTE RESOLUTION (SDSC FORM #CIV-359).

POS-010

ATTORNEY OR PARTY WITHOUT ATTORNEY (Namo, State flat number, and address): Lawrence T Fisher, 191626 Bursor & Fisher, P.A. 1990 North California Blvd. Suite 940 Walnut Creek, CA 94596 TELEPHONE NO.: (925) 300-4455 ATTORNEY FOR (Name): Plaintiff	FOR COURT USE ONLY ELECTRONICALLY FILE Superior Court of California, County of San Diego 12/17/2013 at 10:34:00 Ah Clerk of the Superior Court By E- Filing, Deputy Clerk	
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Superior Court of California, San Diego County 330 W. Broadway San Diego, CA 92101-3409		
PLAINTIFF/PETITIONER: Thamar S. Cortina DEFENDANT/RESPONDENT: Novartis Consumer Health Inc.	CASE NUMBER: 37-2013-00078571-CU-BT-CTL	
PROOF OF SERVICE OF SUMMONS	Rel. No. or File No.: 137	

- 1. At the time of service I was a citizen of the United States, at least 18 years of age and not a party to this action.
- 2. I served copies of: Civil Case Cover Sheet, Complaint, Summons, Notice of Case Assignment, Notice to Litigants, General/Other-E-Filing, General/Other-E-Filing, Reg, Stipulation-ADR
- 3. a. Party served: Novartis Consumer Health, Inc.
 - b. Person Served: CSC Becky DeGeorge Person authorized to accept service of process
- 4. Address where the party was served: 2710 N Gateway Oaks Dr Ste 150 Sacramento, CA 95833
- 5. I served the party
 - a. by personal service. I personally delivered the documents listed in item 2 to the party or person authorized to receive service of process for the party (1) on (date): 12/11/2013 (2) at (time): 1:48 PM
- 6. The "Notice to the Person Served" (on the summons) was completed as follows:
 - c. on behalf of:

Novartis Consumer Health, Inc.

under:

CCP 416.10 (corporation)

7. Person who served papers

a. Name:

Michelle Dodd

b. Address:

One Legal - 194-Marin 504 Redwood Blvd #223 Novato, CA 94947

140101010101

- c. Telephone number: 415-491-0606
- d. The fee for service was: \$36.95
- e l am:
 - (3) registered California process server.
 - (i) Employee or independent contractor.
 - (ii) Registration No.: 2011-42
 - (iii) County: SACRAMENTO

8. I declare under penalty of perjury under the laws of the United States of America and the State of California that the foregoing is true and correct.

Date: 12/11/2013

Michelle Dodd

(NAME OF PERSON WHO SERVED PAPERS)

(SIGNATURE)

Michelleblodd

Code of Civil Procedure, § 417.10

JS 44 (Rev. 12/12)

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS			DEFENDANTS			
Thamar S. Cortina, individually and on behalf of all others similarly situated			/	Novartis Consumer Health, Inc.		
(b) County of Residence of First Listed Plaintiff San Diego, California			a	County of Residence of First Listed Defendant		
(EXCEPT IN U.S. PLAINTIFF CASES)					(IN U.S. PLAINTIFF CASES O	NLY)
				NOTE: IN LAND CO THE TRACT	NDEMNATION CASES, USE THOSE LAND INVOLVED.	HE LOCATION OF
(c) Attorneys (Firm Name,)	Address, and Telephone Numbe	r)		Attorneys (If Known)	'1 <i>4</i> C V 0 (069 MMAKSC
(see attachment)				(see attachment)	14000	
II. BASIS OF JURISDI	CTION (Place on "V" in C	bas Pay Outul	шс	TIZENSHIP OF P	RINCIPAL PARTIES	(Place an "X" in One Box for Plaintiff
II. DASIS OF SURISDI	CHON (Flace an X in O	me Box Only)	in. Ci	(For Diversity Cases Only)	RINCHALIARTES	and One Box for Defendant)
☐ 1 U.S. Government	3 Federal Question			PT		PTF DEF
Plaintiff	(U.S. Government I	Not a Party)	Citiz	en of This State	1	
☐ 2 U.S. Government Defendant	4 Diversity	in of Dantica in Hone III)	Citiz	en of Another State		rincipal Place 🗇 5 🕱 5
Detendant	(Indicale Citizensii	ip of Parties in Item III)	Citiz	en or Subject of a		
	-		Fo	reign Country		
IV. NATURE OF SUIT		aly) PRTS		ORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
CONTRACT 110 Insurance	PERSONAL INJURY	PERSONAL INJUR		25 Drug Related Seizure	□ 422 Appeal 28 USC 158	☐ 375 False Claims Act
☐ 120 Marine	310 Airplane	365 Personal Injury -		of Property 21 USC 881	☐ 422 Appeal 28 USC 138	400 State Reapportionment
☐ 130 Miller Act	☐ 315 Airplane Product	Product Liability		00 Other	28 USC 157	☐ 410 Antitrust
☐ 140 Negotiable Instrument	Liability	367 Health Care/	ŀ			1 430 Banks and Banking
☐ 150 Recovery of Overpayment & Enforcement of Judgment	320 Assault, Libel &	Pharmaceutical Personal Injury	į		PROPERTY RIGHTS 820 Copyrights	☐ 450 Commerce ☐ 460 Deportation
□ 151 Medicare Act	Slander 330 Federal Employers'	Product Liability			330 Patent	470 Racketeer Influenced and
☐ 152 Recovery of Defaulted	Liability	☐ 368 Asbestos Persona	ıl		☐ 840 Trademark	Corrupt Organizations
Student Loans	340 Marine	Injury Product				☐ 480 Consumer Credit
(Excludes Veterans)	☐ 345 Marine Product	Liability PROPER	DTV G. 7	LABOR	SOCIAL SECURITY	☐ 490 Cable/Sat TV ☐ 850 Securities/Commodities/
☐ 153 Recovery of Overpayment of Veteran's Benefits	Liability 350 Motor Vehicle	PERSONAL PROPES 370 Other Fraud	KXX D /.	10 Fair Labor Standards Act	☐ 861 HIA (1395ff) ☐ 862 Black Lung (923)	Exchange
☐ 160 Stockholders' Suits	☐ 355 Motor Vehicle	371 Truth in Lending	O 73	20 Labor/Management	☐ 863 DIWC/DIWW (405(g))	☐ 890 Other Statutory Actions
☐ 190 Other Contract	Product Liability	380 Other Personal		Relations	☐ 864 SSID Title XVI	☐ 891 Agricultural Acts
☐ 195 Contract Product Liability ☐ 196 Franchise	360 Other Personal Injury	Property Damage 385 Property Damage		10 Railway Labor Act 51 Family and Medical	□ 865 RSI (405(g))	☐ 893 Environmental Matters ☐ 895 Freedom of Information
190 Panelise	362 Personal Injury -	Product Liability	, ° '	Leave Act	į	Act
	Medical Malpractice			00 Other Labor Litigation		□ 896 Arbitration
REAL PROPERTY 210 Land Condemnation	CIVIL RIGHTS	PRISONER PETITIO	NS D 7	1 Employee Retirement	FEDERAL TAX SUITS	☐ 899 Administrative Procedure Act/Review or Appeal of
☐ 210 Land Condemnation☐ 220 Foreclosure	☐ 440 Other Civil Rights ☐ 441 Voting	Habeas Corpus: 463 Alien Detainee	ŀ	Income Security Act	☐ 870 Taxes (U.S. Plaintiff or Defendant)	Agency Decision
230 Rent Lease & Ejectment	442 Employment	510 Motions to Vacat	e l		☐ 871 IRS—Third Party	950 Constitutionality of
☐ 240 Torts to Land	☐ 443 Housing/	Sentence			26 USC 7609	State Statutes
245 Tort Product Liability	Accommodations 445 Amer. w/Disabilities -	530 General		PARTONATION		
☐ 290 All Other Real Property	Employment	Other:	□ 4	IMMIGRATION ☐ 462 Naturalization Application		
	☐ 446 Amer. w/Disabilities -	☐ 540 Mandamus & Otl		65 Other Immigration		
	Other	☐ 550 Civil Rights		Actions		
	448 Education	☐ 555 Prison Condition ☐ 560 Civil Detainee -				
•		Conditions of				
		Confinement		:		
V. ORIGIN (Place an "X" i ☐ 1 Original ☐ 2 Re	in One Box Only) emoved from	Remanded from	☐ 4 Reii	nstated or 🔲 5 Transfe		
Proceeding Sta	ate Court	Appellate Court		(specify,		
VI. CAUSE OF ACTIO	28 USC 1441, 28	3 U.S.C. 1332(d)	are minig (Do not cite jurisdictional stat	utes untess utversity).	
VI. CAUSE OF ACTIV	I Drief describtion of C	^{ause:} 750; Cal. Bus. & Pr	of. Code	e 17200; Cal. Bus. &	Prof. Code 17500	
VII. REQUESTED IN		IS A CLASS ACTIO		EMAND \$	***************************************	if demanded in complaint:
COMPLAINT:	UNDER RULE 2		.,	\$5mm+, and injunct	. JURY DEMAND:	: XX Yes ☐ No
VIII. RELATED CAS	F(S)			· · · · · · · · · · · · · · · · · · ·		
IF ANY	(See instructions):	JUDGE			DOCKET NUMBER	
DATE		SIGNATURE OF AT	TORNEY	OF RECORD		
01/10/2014		van	_//0_	2		
FOR OFFICE USE ONLY						
RECEIPT # A	MOUNT	APPLYING IFP		JUDGE	MAG. JU.	DGE

CIVIL COVER SHEET ATTACHMENT I(A)

Plaintiff's Counsel

Defendant's Counsel

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